



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/674,076  
Source: 1FW9  
Date Processed by STIC: 6/29/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

~~TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER~~  
~~VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND~~  
~~TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:~~

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/efb/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box-1450, Alexandria, VA 22313-1450
3. Hand-Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: <u>10/674,076</u>
<b>ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE</b>		
1 _____ Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 _____ Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 _____ Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use <b>space characters</b> , instead.	
4 _____ Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 _____ Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 _____ PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 _____ Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading). (xi) SEQUENCE DESCRIPTION: SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.	
8 _____ Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000	
9 _____ Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.	
10 _____ Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence.	
11 _____ Use of <220>	Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 _____ PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 _____ Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid	



IFWO

## RAW SEQUENCE LISTING

DATE: 06/29/2004

PATENT APPLICATION: US/10/674,076

TIME: 08:49:46

Input Set : A:\N0260.70044US01seq.txt

Output Set : N:\CRF4\06292004\J674076.raw

3 <110> APPLICANT: Shashoua, Victor E  
 5 <120> TITLE OF INVENTION: NEUROPROTECTIVE PEPTIDES AND USES THEREOF  
 7 <130> FILE REFERENCE: N0260.70044US01  
 9 <140> CURRENT APPLICATION NUMBER: US 10/674,076  
 10 <141> CURRENT FILING DATE: 2003-09-29  
 12 <150> PRIOR APPLICATION NUMBER: US 09/021,247  
 13 <151> PRIOR FILING DATE: 1998-02-10  
 15 <150> PRIOR APPLICATION NUMBER: US 09/810,863  
 16 <151> PRIOR FILING DATE: 2001-03-16  
 18 <160> NUMBER OF SEQ ID NOS: 19  
 20 <170> SOFTWARE: PatentIn version 3.2  
 22 <210> SEQ ID NO: 1  
 23 <211> LENGTH: 12  
 24 <212> TYPE: PRT  
 25 <213> ORGANISM: Artificial sequence  
 27 <220> FEATURE:  
 29 <223> OTHER INFORMATION: Peptide  
 31 <220> FEATURE:  
 32 <221> NAME/KEY: MISC\_FEATURE  
 33 <222> LOCATION: (1)..(1)  
 34 <223> OTHER INFORMATION: X = Asp, Gln, Gly or Tyr  
 36 <220> FEATURE:  
 37 <221> NAME/KEY: MISC\_FEATURE  
 38 <222> LOCATION: (2)..(2)  
 39 <223> OTHER INFORMATION: X = any amino acid  
 41 <220> FEATURE:  
 42 <221> NAME/KEY: MISC\_FEATURE  
 43 <222> LOCATION: (3)..(3)  
 44 <223> OTHER INFORMATION: X = Asp, Asn, Thr or Glu  
 46 <220> FEATURE:  
 47 <221> NAME/KEY: MISC\_FEATURE  
 48 <222> LOCATION: (4)..(4)  
 49 <223> OTHER INFORMATION: X = any amino acid  
 51 <220> FEATURE:  
 52 <221> NAME/KEY: MISC\_FEATURE  
 53 <222> LOCATION: (5)..(5)  
 54 <223> OTHER INFORMATION: X = Asp, Ser, Gly, Asn or Leu  
 56 <220> FEATURE:  
 57 <221> NAME/KEY: MISC\_FEATURE  
 58 <222> LOCATION: (6)..(6)  
 59 <223> OTHER INFORMATION: X = any amino acid  
 61 <220> FEATURE:  
 62 <221> NAME/KEY: MISC\_FEATURE

pp 1-6

insufficient explanation for Artificial Sequence

Does Not Comply  
Corrected Diskette Needed

(give  
 source of  
 genetic  
 material.  
 See item 11  
 on Ena  
 summary  
 sheet.)

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Input Set : A:\N0260.70044US01seq.txt

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```

63 <222> LOCATION: (7)..(7)
64 <223> OTHER INFORMATION: X = Ala, Asp, Phe, Lys, Thr, Tyr, Arg, Val, Cys or Ser
66 <220> FEATURE:
67 <221> NAME/KEY: MISC_FEATURE
68 <222> LOCATION: (8)..(8)
69 <223> OTHER INFORMATION: X = any amino acid
71 <220> FEATURE:
72 <221> NAME/KEY: MISC_FEATURE
73 <222> LOCATION: (9)..(9)
74 <223> OTHER INFORMATION: X = Asp, Glu, Gly, Ser, Thr, Met or Asn
76 <220> FEATURE:
77 <221> NAME/KEY: MISC_FEATURE
78 <222> LOCATION: (10)..(10)
79 <223> OTHER INFORMATION: X = any amino acid
81 <220> FEATURE:
82 <221> NAME/KEY: MISC_FEATURE
83 <222> LOCATION: (11)..(11)
84 <223> OTHER INFORMATION: X = Glu, Gln, Ala, Leu or Asn
86 <220> FEATURE:
87 <221> NAME/KEY: misc_feature
88 <222> LOCATION: (12)..(12)
89 <223> OTHER INFORMATION: Xaa can be any naturally occurring amino acid
91 <400> SEQUENCE: 1
93 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
94 1 5 10
97 <210> SEQ ID NO: 2
98 <211> LENGTH: 12
99 <212> TYPE: PRT
100 <213> ORGANISM: Artificial sequence
102 <220> FEATURE:
104 <223> OTHER INFORMATION: Peptide
107 <220> FEATURE:
108 <221> NAME/KEY: MISC_FEATURE
109 <222> LOCATION: (2)..(2)
110 <223> OTHER INFORMATION: X = any amino acid
112 <220> FEATURE:
113 <221> NAME/KEY: MISC_FEATURE
114 <222> LOCATION: (4)..(4)
115 <223> OTHER INFORMATION: X = any amino acid
117 <220> FEATURE:
118 <221> NAME/KEY: MISC_FEATURE
119 <222> LOCATION: (7)..(7)
120 <223> OTHER INFORMATION: X = any amino acid
122 <220> FEATURE:
123 <221> NAME/KEY: MISC_FEATURE
124 <222> LOCATION: (10)..(10)
125 <223> OTHER INFORMATION: X = any amino acid
127 <220> FEATURE:
128 <221> NAME/KEY: MISC_FEATURE

```

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Input Set : A:\N0260.70044US01seq.txt

Output Set: N:\CRF4\06292004\J674076.raw

129 <22> LOCATION: (11)..(11).  
130 <22> OTHER INFORMATION: X = any amino acid  
132 <40> SEQUENCE: 2  
W--> 134 Asp Xaa Asp Xaa Asp Gly Xaa Ile Asp Xaa Xaa Glu  
135 1 5 10  
138 <21> SEQ ID NO: 3  
139 <21> LENGTH: 12  
140 <21> TYPE: PRT  
141 <21> ORGANISM: Artificial sequence  
143 <22> FEATURE:  
145 <22> OTHER INFORMATION: Peptide  
147 <40> SEQUENCE: 3  
149 Asp Gly Asp Gly Asp Phe Ala Ile Asp Ala Pro Glu  
150 1 5 10  
153 <21> SEQ ID NO: 4  
154 <21> LENGTH: 14  
155 <21> TYPE: PRT  
156 <21> ORGANISM: Artificial sequence  
158 <22> FEATURE:  
160 <22> OTHER INFORMATION: Peptide  
162 <40> SEQUENCE: 4  
164 Lys Lys Asp Gly Asp Gly Asp Phe Ala Ile Asp Ala Pro Glu  
165 1 5 10  
168 <21> SEQ ID NO: 5  
169 <21> LENGTH: 16  
170 <21> TYPE: PRT  
171 <21> ORGANISM: Artificial sequence  
173 <22> FEATURE:  
175 <22> OTHER INFORMATION: Peptide  
177 <40> SEQUENCE: 5  
179 Lys Lys Lys Lys Asp Gly Asp Gly Asp Phe Ala Ile Asp Ala Pro Glu  
180 1 5 10 15  
183 <21> SEQ ID NO: 6  
184 <21> LENGTH: 21  
185 <21> TYPE: DNA  
186 <21> ORGANISM: Artificial sequence  
W--> 187 <22> FEATURE:  
189 <22> OTHER INFORMATION: Oligonucleotide  
191 <40> SEQUENCE: 6  
192 agttgagggg actttccagg c 21  
195 <21> SEQ ID NO: 7  
196 <21> LENGTH: 20  
197 <21> TYPE: DNA  
198 <21> ORGANISM: Artificial sequence  
200 <22> FEATURE:  
202 <22> OTHER INFORMATION: Oligonucleotide  
204 <40> SEQUENCE: 7  
205 tgcagattgc gcaatctgca 20  
208 <21> SEQ ID NO: 8

## RAW SEQUENCE LISTING

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Input Set : A:\N0260.70044US01seq.txt

Output Set: N:\CRF4\06292004\J674076.raw

209 <211> LENGTH: 21  
 210 <212> TYPE: DNA  
 211 <213> ORGANISM: Artificial sequence  
 213 <220> FEATURE:  
 215 <223> OTHER INFORMATION: Oligonucleotide  
 217 <400> SEQUENCE: 8  
 218 cgcttgatga gtcagccgga a  
 221 <210> SEQ ID NO: 9  
 222 <211> LENGTH: 20  
 223 <212> TYPE: PRT  
 224 <213> ORGANISM: Artificial sequence  
 226 <220> FEATURE:  
 228 <223> OTHER INFORMATION: Peptide  
 230 <400> SEQUENCE: 9  
 232 Lys Lys Lys Lys Asp Gly Asp Gly Asp Phe Ala Ile Asp Ala Pro Glu  
 233 1 5 10 15  
 235 Lys Lys Lys Lys  
 236 20  
 239 <210> SEQ ID NO: 10  
 240 <211> LENGTH: 8  
 241 <212> TYPE: PRT  
 242 <213> ORGANISM: Artificial sequence  
 244 <220> FEATURE:  
 246 <223> OTHER INFORMATION: Peptide  
 249 <400> SEQUENCE: 10  
 251 Asp Phe Ala Ile Asp Ala Pro Glu  
 252 1 5  
 255 <210> SEQ ID NO: 11  
 256 <211> LENGTH: 9  
 257 <212> TYPE: PRT  
 258 <213> ORGANISM: Artificial sequence  
 260 <220> FEATURE:  
 262 <223> OTHER INFORMATION: Peptide  
 264 <220> FEATURE:  
 265 <221> NAME/KEY: MISC\_FEATURE  
 266 <222> LOCATION: (1)..(1)  
 267 <223> OTHER INFORMATION: X = any amino acid  
 269 <400> SEQUENCE: 11  
 W--> 271 Xaa Asp Phe Ala Ile Asp Ala Pro Glu  
 272 1 5  
 275 <210> SEQ ID NO: 12  
 276 <211> LENGTH: 9  
 277 <212> TYPE: PRT  
 278 <213> ORGANISM: Artificial sequence  
 280 <220> FEATURE:  
 282 <223> OTHER INFORMATION: Peptide  
 284 <400> SEQUENCE: 12  
 286 Gly Asp Phe Ala Ile Asp Ala Pro Glu  
 287 1 5

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## RAW SEQUENCE LISTING

DATE: 06/29/2004

PATENT APPLICATION: US/10/674,076

TIME: 08:49:46

Input Set : A:\N0260.70044US01seq.txt

Output Set: N:\CRF4\06292004\J674076.raw

290 <210> SEQ ID NO: 13  
291 <211> LENGTH: 10  
292 <212> TYPE: PRT  
293 <213> ORGANISM: Artificial sequence  
295 <220> FEATURE:  
297 <223> OTHER INFORMATION: Peptide  
299 <220> FEATURE:  
300 <221> NAME/KEY: MISC\_FEATURE  
301 <222> LOCATION: (1)..(1)  
302 <223> OTHER INFORMATION: X = Asp, Asn, Thr or Glu  
304 <220> FEATURE:  
305 <221> NAME/KEY: MISC\_FEATURE  
306 <222> LOCATION: (2)..(2)  
307 <223> OTHER INFORMATION: X = any amino acid  
311 <400> SEQUENCE: 13

W--&gt; 313 Xaa Xaa Asp Phe Ala Ile Asp Ala Pro Glu

314 1 5 10

317 <210> SEQ ID NO: 14  
318 <211> LENGTH: 10  
319 <212> TYPE: PRT  
320 <213> ORGANISM: Artificial sequence  
322 <220> FEATURE:  
324 <223> OTHER INFORMATION: Peptide  
326 <220> FEATURE:  
327 <221> NAME/KEY: MISC\_FEATURE  
328 <222> LOCATION: (2)..(2)  
329 <223> OTHER INFORMATION: X = any amino acid  
331 <400> SEQUENCE: 14

W--&gt; 333 Asp Xaa Asp Phe Ala Ile Asp Ala Pro Glu

334 1 5 10

337 <210> SEQ ID NO: 15  
338 <211> LENGTH: 11  
339 <212> TYPE: PRT  
340 <213> ORGANISM: Artificial sequence  
342 <220> FEATURE:  
344 <223> OTHER INFORMATION: Peptide  
346 <220> FEATURE:  
347 <221> NAME/KEY: MISC\_FEATURE  
348 <222> LOCATION: (1)..(1)  
349 <223> OTHER INFORMATION: X = any amino acid  
351 <220> FEATURE:  
352 <221> NAME/KEY: MISC\_FEATURE  
353 <222> LOCATION: (2)..(2)  
354 <223> OTHER INFORMATION: X = Asp, Asn, Thr or Glu  
356 <220> FEATURE:  
357 <221> NAME/KEY: MISC\_FEATURE  
358 <222> LOCATION: (3)..(3)  
359 <223> OTHER INFORMATION: X = any amino acid  
361 <400> SEQUENCE: 15

**IMPORTANT**

The types of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.

RAW SEQUENCE LISTING ERROR SUMMARY  
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TIME: 08:49:47

Input Set : A:\N0260.70044US01seq.txt  
Output Set: N:\CRF4\06292004\J674076.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:1; Xaa Pos. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12

Seq#:2; Xaa Pos. 2, 4, 7, 10, 11

Seq#:11; Xaa Pos. 1

Seq#:13; Xaa Pos. 1, 2

Seq#:14; Xaa Pos. 2

Seq#:15; Xaa Pos. 1, 2, 3

Seq#:16; Xaa Pos. 2, 3

Seq#:17; Xaa Pos. 1, 2, 3, 4

Seq#:18; Xaa Pos. 2, 3, 4

Seq#:19; Xaa Pos. 1, 2, 3, 4, 5, 6, 7, 8



## VERIFICATION SUMMARY

DATE: 06/29/2004

PATENT APPLICATION: US/10/674,076

TIME: 08:49:47

Input Set : A:\N0260.70044US01seq.txt

Output Set: N:\CRF4\06292004\J674076.raw

L:93 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1 after pos.:0  
L:134 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0  
L:187 M:283 W: Missing Blank Line separator, <220> field identifier  
L:271 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11 after pos.:0  
L:313 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13 after pos.:0  
L:333 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14 after pos.:0  
L:363 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:15 after pos.:0  
L:387 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:16 after pos.:0  
L:422 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:17 after pos.:0  
L:452 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:18 after pos.:0  
L:507 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:19 after pos.:0